

dfw

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/811,670
Filing Date	March 29, 2004
First Named Inventor	Brian N. Tufte
Art Unit	2875
Examiner Name	Ismael Negron
Attorney Docket Number	1076.1101110

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Communication 13 non-US patent references Return Postcard
---	--	---

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Crompton Seager Tufte
Signature	
Printed name	Brian N. Tufte
Date	April 28, 2006

Reg. No. 38,638

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	
Typed or printed name	Kris Wilfrid

Date April 28, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Brian N. Tufte Confirmation No.: 2974  
Serial No.: 10/811,670 Examiner: Ismael Negron  
Filed: March 29, 2004 Group Art Unit: 2875  
For: LIGHTING APPARATUS  
Docket No.: 1076.1101110

**COMMUNICATION**

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 28th day of April, 2006.

By: Kris Wilfrid  
Kris Wilfrid

Submitted herewith is the Information Disclosure Statement previously submitted on October 13, 2004, as evidenced by an attached copy of the return postcard received from the USPTO and date-stamped October 15, 2004, by the Patent Office. In view of this information, applicant respectfully requests that no fee be charged for the resubmission of this Information Disclosure Statement.

Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

Respectfully submitted,

Dated: April 28, 2006

Brian N. Tufte, Reg. No. 38,638  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2402  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

BEST AVAILABLE COPY



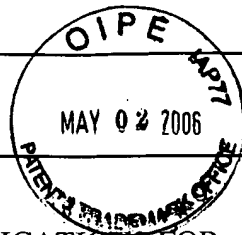
Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant: Brian N. Tufte  
Serial No.: 10/811,670  
Filed: March 29, 2004  
For: LIGHTING APPARATUS  
Docket No.: 1076.1101110  
Date of Deposit: October 13, 2004

Transmittal Form  
Information Disclosure Statement  
Form PTO 1449  
19 non-US patent references  
Return Postcard  
Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450  
VIA US MAIL



BNT/lt


 Atty. Docket No.:  
1076.1101110

 Serial No.:  
10/811,670

 LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

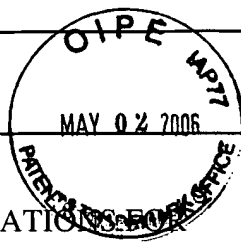
Applicant: Brian N. Tufte

 Filing Date:  
March 29, 2004

 Group Art:  
unknown

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	2003/0209183	11/2003	Tufte			
	1,779,180	10/1930	Macrae et al			
	2,277,433	03/1942	E.F. Guth			
	2,300,067	09/1941	Schwab			
	2,339,085	01/1944	Luckiesh, Matthew			
	2,561,756	07/1951	Shock			
	2,930,885	03/1960	Ehrenfreund, Herbert A.			
	3,196,265	07/1965	Schneider			
	3,317,722	05/1967	F. L. Whitney			
	3,551,723	12/1970	Van Groningen			
	3,612,848	10/1971	Koch et al.			
	3,639,748	02/1972	Pearson et al.			
	3,675,527	07/1972	Reeder, Jr., George, H.			
	3,722,876	03/1973	Schwenk			
	3,885,144	05/1975	Lewis et al.			
	4,107,767	08/1978	Anquetin			
	4,213,644	07/1980	Scrivo et al.			
	4,245,281	01/1981	Ziaylek, Jr.			
	4,360,859	11/1982	Ziaylek, Jr.			
	4,376,966	03/1983	Tieszen			
	4,418,378	11/1983	Johnson			
	4,445,163	04/1984	Ziaylek, Jr.			
	4,625,266	11/1986	Winter			
	4,740,870	04/1988	Moore et al			
	4,947,293	08/1990	Johnson et al			


 Atty. Docket No.:  
1076.1101110

 Serial No.:  
10/811,670

 LIST OF PATENTS AND PUBLICATIONS  
 APPLICANT'S INFORMATION  
 DISCLOSURE STATEMENT

Applicant: Brian N. Tufte

 Filing Date:  
March 29, 2004

 Group Art:  
unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,954,932	09/1990	Isenga			
	4,955,044	09/1990	Amstutz et al.			
	4,964,760	10/1990	Hartman			
	5,023,762	06/1991	Tieszen			
	5,180,223	01/1993	McNamee			
	5,268,824	12/1993	Czipri			
	5,304,993	04/1994	Handsaker			
	5,337,225	08/1994	Brookman			
	5,410,458	04/1995	Bell			
	5,430,627	07/1995	Nagano			
	5,434,013	07/1995	Fernandez			
	5,469,342	11/1995	Chien, Tseng L.			
	5,475,574	12/1995	Chien, Tseng-Lu			
	5,485,355	01/1996	Voskoboinik et al			
	5,495,401	02/1996	Evans			
	5,566,384	10/1996	Chien			
	5,570,945	11/1996	Chien et al.			
	5,579,429	11/1996	Naum			
	5,611,621	03/1997	Chien, Tseng-Lu			
	5,636,916	06/1997	Sokolowski			
	5,680,496	10/1997	Burkitt, III et al.			
	5,730,079	03/1998	Totty et al			
	5,737,472	04/1998	Bernasson et al			
	5,746,501	05/1998	Chien, Tseng Lu			
	5,869,930	02/1999	Baumberg et al			
	5,871,269	02/1999	Fjaestad et al.			
	5,873,646	02/1999	Fjaestad et al.			

<b>FORM PTO-1449</b> <b>Page 3 of 4</b>	Atty. Docket No.: 1076.1101110	Serial No.: 10/811,670
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Brian N. Tufte	
	Filing Date: March 29, 2004	Group Art: unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,903,695	05/1999	Zarian et al			
	5,915,830	06/1999	Dickson et al.			
	5,917,288	06/1999	Feldman et al.			
	6,033,085	03/2000	Bowker, James W.			
	6,065,852	05/2000	Crumley			
	6,074,071	06/2000	Baumberg et al			
	6,082,867	07/2000	Chien			
	6,113,246	09/2000	Rub			
	6,129,442	10/2000	Hoefling et al.			
	6,179,431	01/2001	Chien, Tseng-Lu			
	6,186,649	02/2001	Zou et al.			
	6,231,217	05/15/01	Krippelz, Sr.			
	6,270,229	08/2001	Chien, Tseng-Lu			
	6,278,827	08/2001	Sugiyama et al.			
	6,371,634	04/2002	Tufte			
	6,461,028	10/2002	Huang			
	6,511,204	01/2003	Emmel et al.			
	6,523,986	02/2003	Hoffman			
	6,526,200	02/2003	Davie			
	6,655,824	12/2003	Tufte			
	6,733,161	05/2004	Tufte			
	6,742,916	06/2004	Dunn			
	6,817,731	11/2004	Tufte			
	6,837,591	01/2005	Tufte			
	6,869,202	03/2002	Tufte			
	6,883,931	04/2005	Tufte			
	6,921,184	07/2005	Tufte			

<b>FORM PTO-1449</b> <b>Page 4 of 4</b>	Atty. Docket No.: 1076.1101110	Serial No.: 10/811,670
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Brian N. Tufte	
	Filing Date: March 29, 2004	Group Art: unknown

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	Light Tech LLC., "Decorative Cable Lights," brochure, copyright 1998.
	Flex Glo Wire, Inc., "Flex Glo Wire Specifications," October 15, 1998.
	<u>Selected pages from <a href="http://www.aqualuce.com/montkaj.html">http://www.aqualuce.com/montkaj.html</a></u> , 2 pages, downloaded June 3, 2000.
	"Eagle Mouldings Specialty Trim & Extrusions," brochure, 6 pages, prior to August 11, 1999.
	Lumenyte International Corporation, "Cove Lighting Applications," 1 page, copyright, March 19, 1998.
	Lumenyte International Corporation, "Sta-Flex LEF™ 510M Linear Emitting Fiber," 2 pages, copyright March 6, 2001.
	Lumenyte International Corporation, "Sta-Flex LEF™ 310 Linear Emitting Fiber," 2 pages, copyright March 19, 1998.
	Lumenyte International Corporation, "Sta-Flex SFR™ 510 Linear Fiber," 2 pages, copyright March 19, 1998.
	Lumenyte International Corporation, "Sta-Flex SFR™ 410M Linear Emitting Fiber," 2 pages, copyright March 6, 2001.
	3M Marine Products, <a href="http://www.3m.com/US/auto_marine_aero/marine/catalog/index.jhtml">http://www.3m.com/US/auto_marine_aero/marine/catalog/index.jhtml</a> , 1 page, downloaded February 11, 2002.
	3M Automotive, Marine and Aerospace, <a href="http://products.3m.com/usenglish/auto_marine_aero/marine.jhtml">http://products.3m.com/usenglish/auto_marine_aero/marine.jhtml</a> , 1 page, downloaded February 11, 2002.
	3M United States, <a href="http://www.3m.com/about3M/technologies/lightfiber">http://www.3m.com/about3M/technologies/lightfiber</a> , 30 pages, downloaded February 11, 2002.
	<a href="http://www.elam.co.il">http://www.elam.co.il</a> , 24 pages, downloaded February 11, 2002.

EXAMINER:	DATE CONSIDERED:
-----------	------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.